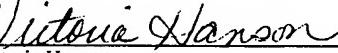




IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cox Examiner: Unknown
Serial No.: 10/037,010 Group Art Unit: Unknown
Filed: December 31, 2001 Docket: H0001575 (M&G 13358.7US01)
Due Date: March 31, 2002
Title: TUNABLE LASER ASSEMBLY

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on March 4, 2002.

By: 
Victoria Hanson

Missing Parts
Commissioner for Patents
Washington, D.C. 20231

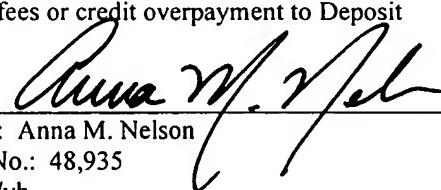
Sir:

We are transmitting herewith the attached:

- Transmittal Sheet in duplicate containing Certificate of Mailing
- Notice to File Missing Parts of NonProvisional Application - Part 2
- Signed Declaration for Utility or Design Patent Application (37 CFR 1.63)
- Other: Fee Transmittal for FY 2002; Communication
- Two return postcards

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: 
Name: Anna M. Nelson
Reg. No.: 48,935
AMN/vh

COPY OF PAPER
ORIGINALLY FILED



10/037,010

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Cox	Examiner:	Unknown
Serial No.:	10/037,010	Group Art Unit:	Unknown
Filed:	December 31, 2001	Docket No.:	H0001575 (13358.7US01)

Title: TUNABLE LASER ASSEMBLY

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on March 4, 2002.

By: Victoria Hanson
Name: Victoria Hanson

*COPY OF PAPER
ORIGINALLY FILED*

Communication

Commissioner for Patents
Washington, D.C. 20231

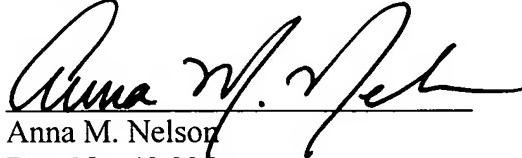
Dear Sir:

Please send all future communication in the above-referenced patent application to:
Andrew Abeyta, Honeywell International Inc., 101 Columbia Road, POB 2245, Morristown,
New Jersey 07962.

Respectfully submitted,

MERCHANT& GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
612/332-5300

Date: March 4, 2002


Anna M. Nelson
Reg. No. 48,935
AMN

This form is a Replica of PTO/SB/17 (01)

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PATENT AND TRADEMARK OFFICE

FEE TRANSMITTAL
FOR FY 2002

(Patent fees are subject to annual revision.)

(Applicant claims small entity status. See 37 CFR 1.27.)

TOTAL AMOUNT OF PAYMENT	\$130
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COPY OF PAPERS
ORIGINALLY FILED

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																																
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input checked="" type="checkbox"/> Deposit Account Deposit Account Number 01-1125 Deposit Account Name Honeywell International Inc.		3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Large Entity</th> <th style="width: 30%;">Small Entity</th> <th style="width: 40%;"></th> </tr> </thead> <tbody> <tr> <td>Fee Code</td> <td>Fee \$</td> <td>Fee \$</td> </tr> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> </tr> <tr> <td>139</td> <td>130</td> <td>138</td> <td>130</td> <td>Non-English specification</td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,250</td> <td>For filing a request for ex parte reexamination</td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> </tr> <tr> <td>118</td> <td>400</td> <td>216</td> <td>200</td> <td>Extension for reply within second month</td> </tr> <tr> <td>117</td> <td>920</td> <td>217</td> <td>460</td> <td>Extension for reply within third month</td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720</td> <td>Extension for reply within fourth month</td> </tr> <tr> <td>128</td> <td>1,980</td> <td>228</td> <td>980</td> <td>Extension for reply within fifth month</td> </tr> <tr> <td>119</td> <td>320</td> <td>219</td> <td>180</td> <td>Notice of Appeal</td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>180</td> <td>Filing a brief in support of an appeal</td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td>Request for oral hearing</td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - unavoidable</td> </tr> <tr> <td>141</td> <td>1,280</td> <td>241</td> <td>640</td> <td>Petition to revive - unintentional</td> </tr> <tr> <td>142</td> <td>1,280</td> <td>242</td> <td>640</td> <td>Utility issue fee (or reissue)</td> </tr> <tr> <td>143</td> <td>480</td> <td>243</td> <td>230</td> <td>Design issue fee</td> </tr> <tr> <td>144</td> <td>620</td> <td>244</td> <td>310</td> <td>Plant issue fee</td> </tr> <tr> <td>122</td> <td>180</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Processing fee under 37 CFR 1.17(q)</td> </tr> <tr> <td>128</td> <td>180</td> <td>126</td> <td>180</td> <td>Submission of Information Disclosure Statement</td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> </tr> <tr> <td>148</td> <td>740</td> <td>248</td> <td>370</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> </tr> <tr> <td>149</td> <td>740</td> <td>249</td> <td>370</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> </tr> <tr> <td>179</td> <td>740</td> <td>279</td> <td>370</td> <td>Request for Continued Examination (RCE)</td> </tr> <tr> <td>169</td> <td>900</td> <td>169</td> <td>900</td> <td>Request for expedited examination of a design application</td> </tr> <tr> <td>195</td> <td>300</td> <td>185</td> <td>300</td> <td>Publication fee</td> </tr> <tr> <td colspan="4" style="text-align: center;">Other fee (specify) _____</td> </tr> <tr> <td colspan="2" style="text-align: center;">* Reduced by Basic Filing Fee Paid</td> <td colspan="2" style="text-align: center;">SUBTOTAL (3) \$130</td> </tr> </tbody> </table>		Large Entity	Small Entity		Fee Code	Fee \$	Fee \$	105	130	205	65	Surcharge - late filing fee or oath	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	139	130	138	130	Non-English specification	147	2,520	147	2,250	For filing a request for ex parte reexamination	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	115	110	215	55	Extension for reply within first month	118	400	216	200	Extension for reply within second month	117	920	217	460	Extension for reply within third month	118	1,440	218	720	Extension for reply within fourth month	128	1,980	228	980	Extension for reply within fifth month	119	320	219	180	Notice of Appeal	120	320	220	180	Filing a brief in support of an appeal	121	280	221	140	Request for oral hearing	138	1,510	138	1,510	Petition to institute a public use proceeding	140	110	240	55	Petition to revive - unavoidable	141	1,280	241	640	Petition to revive - unintentional	142	1,280	242	640	Utility issue fee (or reissue)	143	480	243	230	Design issue fee	144	620	244	310	Plant issue fee	122	180	122	130	Petitions to the Commissioner	123	50	123	50	Processing fee under 37 CFR 1.17(q)	128	180	126	180	Submission of Information Disclosure Statement	581	40	581	40	Recording each patent assignment per property (times number of properties)	148	740	248	370	Filing a submission after final rejection (37 CFR 1.129(a))	149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	179	740	279	370	Request for Continued Examination (RCE)	169	900	169	900	Request for expedited examination of a design application	195	300	185	300	Publication fee	Other fee (specify) _____				* Reduced by Basic Filing Fee Paid		SUBTOTAL (3) \$130	
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* number previously paid, if greater; see above for Reissues																																																																																																																																																																		

SUBMITTED BY		Complete (if applicable)	
Name (Print / Type)	Andrew A. Abeyta	Registration No. (Attorney/Agent)	39,582
Signature	Date 2/28/02		

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UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/037,010	12/31/2001	James Allen Cox	H0001575 (M&G 13358.7US01)

23552
MERCHANT & GOULD PC
P.O. BOX 2903
MINNEAPOLIS, MN 55402-0903

CONFIRMATION NO. 5683

FORMALITIES LETTER



OC00000007401045

Date Mailed: 01/31/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

*SEARCHED AND SERIALIZED
MAR 14 2002
MAILED
JAN 31 2002*

DEPARTMENT OF COMMERCE - U.S. PATENT AND TRADEMARK OFFICE

MARCH 14 2002